

New theoretical approaches to black holes

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based on a collaboration with

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Jean-Pierre Lasota, X-ray binaries, accretion disks and compact stars
Trzebieszowice Castle, Łądek Zdrój, Poland, 7-13 October 2007

Plan

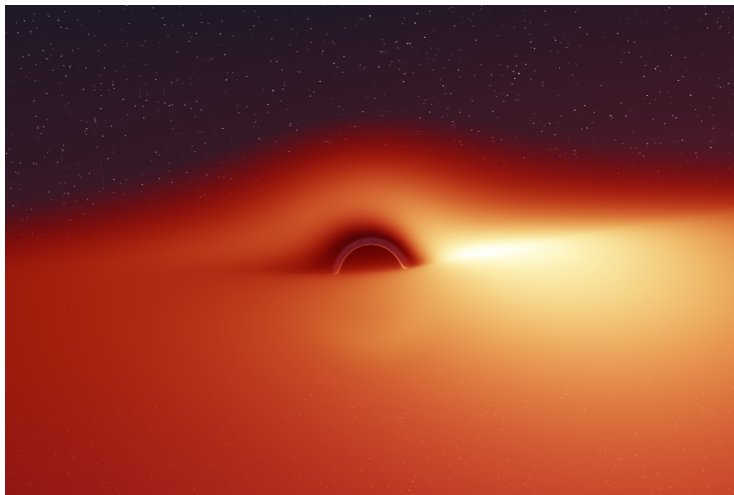
- 1 Review of “classical” black holes
- 2 New approaches to black holes
- 3 Geometry of hypersurface foliations by spacelike 2-surfaces
- 4 A Navier-Stokes-like equation
- 5 Area evolution and energy equation

Outline

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What is a black hole ?

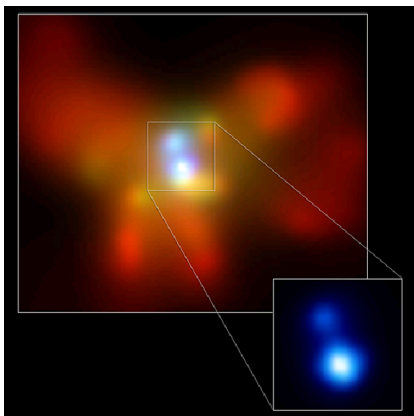
... for the astrophysicist: a very deep gravitational potential well



[J.A. Marck, CQG 13, 393 (1996)]

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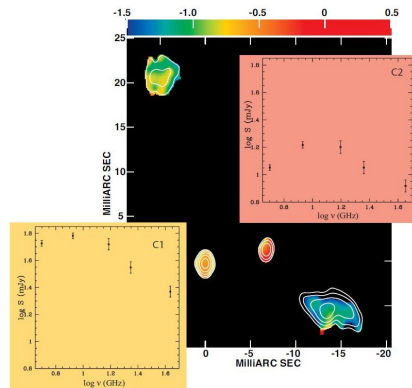
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Binary BH in galaxy NGC 6240

$d = 1.4$ kpc

[Komossa et al., ApJ **582**, L15 (2003)]

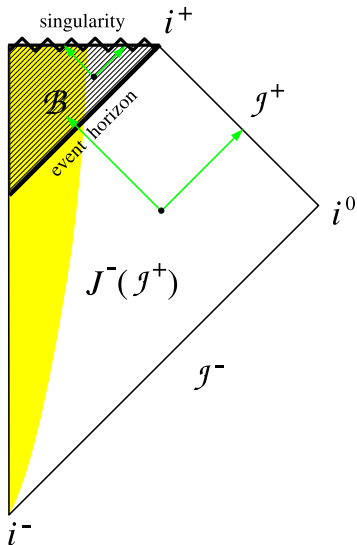


Binary BH in radio galaxy 0402+379

$d = 7.3$ pc

[Rodriguez et al., ApJ **646**, 49 (2006)]

What is a black hole ?



... for the mathematical physicist:

$$\mathcal{B} := \mathcal{M} - J^-(\mathcal{I}^+)$$

i.e. the region of spacetime where light rays cannot escape to infinity

- $\mathcal{M}$ = asymptotically flat manifold
- $\mathcal{I}^+$ = future null infinity
- $J^-(\mathcal{I}^+)$ = causal past of $\mathcal{I}^+$

event horizon: $\mathcal{H} := J^-(\mathcal{I}^+)$
(boundary of $J^-(\mathcal{I}^+)$)

$\mathcal{H}$ smooth $\implies \mathcal{H}$ null hypersurface



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Black Holes in an Expanding Universe

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The question of gravitational collapse to a black hole is treated in the context of an expanding universe.

THE recent extensive discussions¹ of the problem of black holes and their creation are based on the assumption that the space-time is asymptotically flat. This condition is not satisfied in an expanding Friedmann model such as is generally accepted as a model describing quite satisfactorily the large-scale structure of our Universe. It is not important in the present epoch of the

$$4\pi\rho = \frac{m'}{r'^2} \quad (4)$$

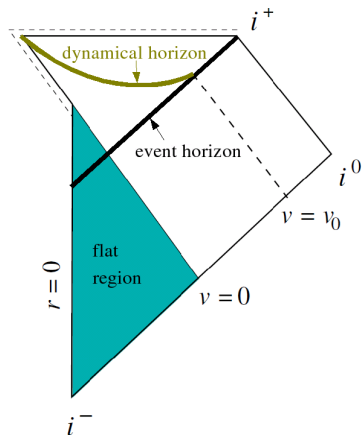
here E ($1+2E>0$) and m are functions of R only, ρ denotes the density of matter, $'$ and $\cdot$ are shorthands for the derivatives with respect to R and t .

It is interesting that equation (3) has exactly the same form as the Newtonian energy equation, but now $m(R)$ denotes the effective gravitational mass and not the "proper" invariant mass $M(R) = \int \rho \sqrt{-g'} d^3x$.

In order to obtain a unique solution of equations (2) to (4) one should specify the initial conditions assigning, for example, at the time $t=t_0(R)$, the values of $r(R, t_0(R)), \dot{r}(R, t_0(R)), m(R)$.

Even when applicable, this definition is highly non-local !

The determination of the boundary of $J^-(\mathcal{I}^+)$ requires the knowledge of the entire future null infinity. Moreover this is not locally linked with the notion of strong gravitational field:



Example of event horizon in a **flat** region of spacetime:

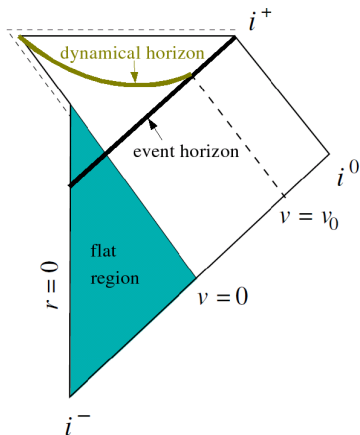
Vaidya metric, describing incoming radiation from infinity:

$$ds^2 = - \left(1 - \frac{2m(v)}{r} \right) dv^2 + 2dv dr + r^2(d\theta^2 + \sin^2 \theta d\varphi^2)$$

$$\text{with } \begin{aligned} m(v) &= 0 & \text{for } v < 0 \\ dm/dv &> 0 & \text{for } 0 \leq v \leq v_0 \\ m(v) &= M_0 & \text{for } v > v_0 \end{aligned}$$

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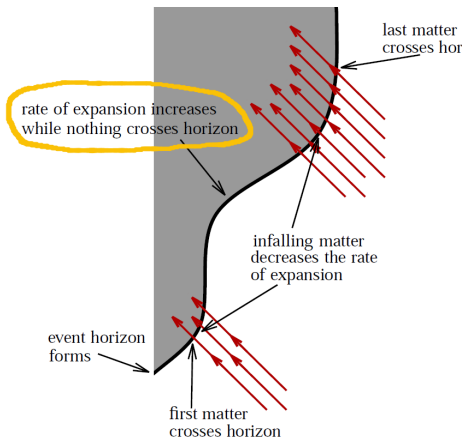
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$\Rightarrow$ no local physical experiment whatsoever can locate the event horizon

[Ashtekar & Krishnan, LRR 7, 10 (2004)]

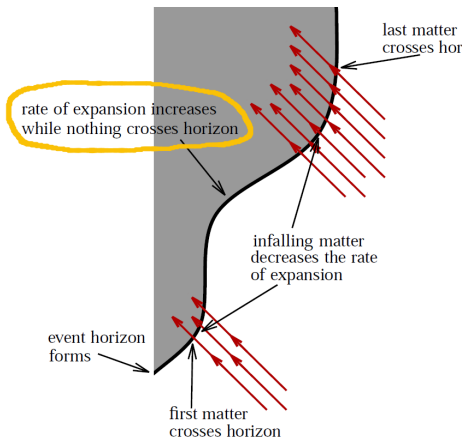
Another non-local feature: teleological nature of event horizons



The classical black hole boundary, i.e. the **event horizon**, responds in advance to what will happen in the future.

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To deal with black holes as physical objects, a local definition would be desirable

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Local characterizations of black holes

Recently a **new paradigm** appeared in the theoretical approach of black holes: instead of *event horizons*, black holes are described by

- **trapping horizons** (Hayward 1994)
- **isolated horizons** (Ashtekar et al. 1999)
- **dynamical horizons** (Ashtekar and Krishnan 2002)
- **slowly evolving horizons** (Booth and Fairhurst 2004)

All these concepts are **local** and are based on the notion of **trapped surfaces**

Motivations: quantum gravity, numerical relativity

What is a trapped surface ?

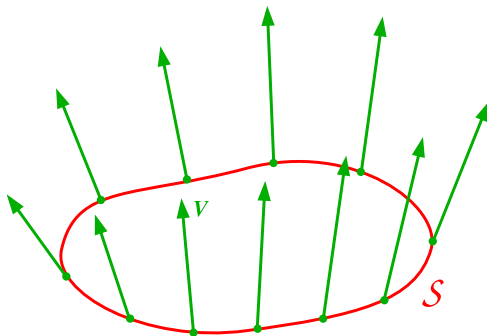
1/ Expansion of a surface along a normal vector field

- 1 Consider a spacelike 2-surface $\mathcal{S}$ (induced metric: q)



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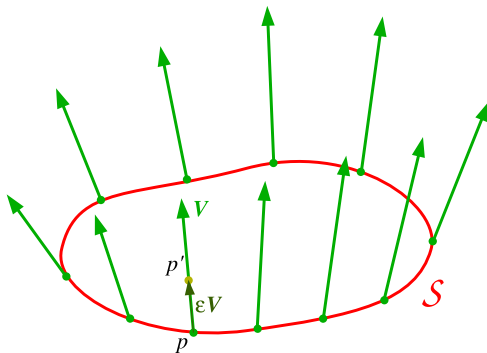
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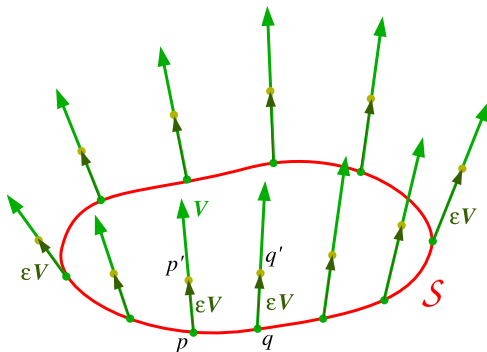
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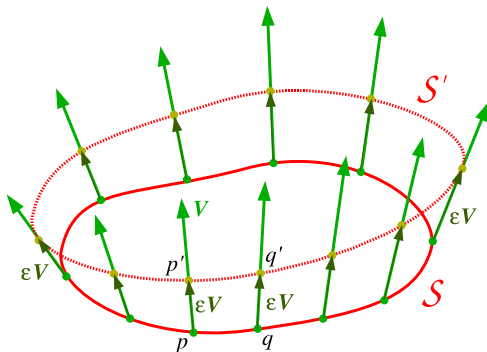
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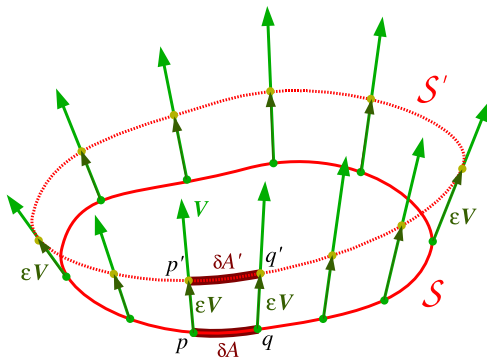
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- 5 This defines a new surface S' (Lie dragging)

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- ④ Do the same for each point in $\mathcal{S}$, keeping the value of ε fixed
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At each point, the **expansion of $\mathcal{S}$ along v** is defined from the relative change in

the area element δA :

$$\theta^{(v)} := \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} \frac{\delta A' - \delta A}{\delta A} = \mathcal{L}_v \ln \sqrt{q} = q^{\mu\nu} \nabla_\mu v_\nu$$

What is a trapped surface ?

2/ The definition

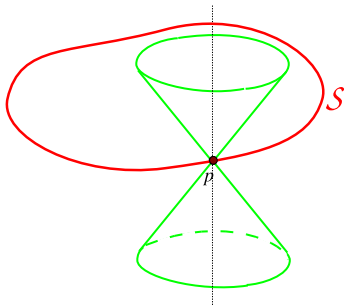
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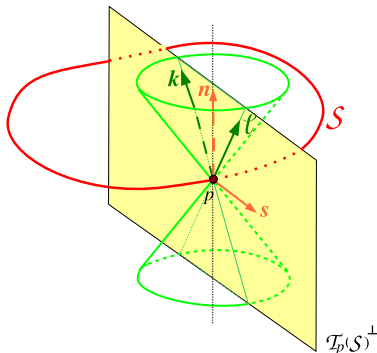


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$\exists$ two future-directed null directions orthogonal to $\mathcal{S}$:

ℓ = outgoing, expansion $\theta^{(\ell)}$

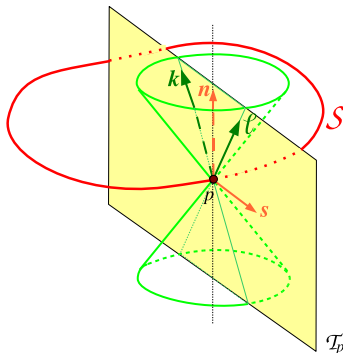
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In flat space, $\theta^{(k)} < 0$ and $\theta^{(\ell)} > 0$

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$\mathcal{S}$ is **trapped** $\iff \theta^{(k)} < 0$ and $\theta^{(l)} < 0$

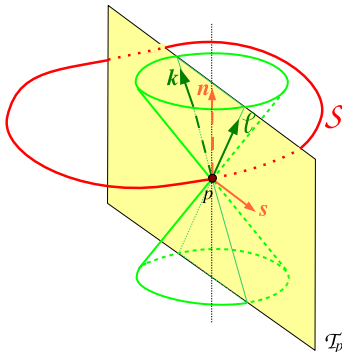
$\mathcal{S}$ is **marginally trapped** $\iff \theta^{(k)} < 0$ and $\theta^{(l)} = 0$

[Penrose 1965]

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trapped surface = **local** concept characterizing very strong gravitational fields

Jean-Pierre's definition

$\mathcal{S}$ spherically symmetric (spacetime with spherical symmetry)

Define R = areal radius of $\mathcal{S}$: $A = 4\pi R^2$

Definition: "local event horizon" $\iff \mathcal{L}_k R < 0$ and $\mathcal{L}_\ell R < 0$

In spherical symmetry, this is equivalent to $\theta^{(k)} < 0$ and $\theta^{(\ell)} < 0$, i.e. the trapped surface condition

NATURE PHYSICAL SCIENCE VOL. 241 JANUARY 15 1973

53

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Link with apparent horizons

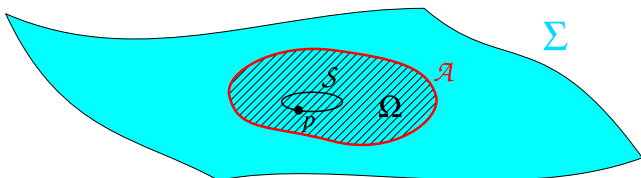
A closed spacelike 2-surface $\mathcal{S}$ is said to be **outer trapped** (resp. **marginally outer trapped (MOTS)**) iff [Hawking & Ellis 1973]

- the notions of *interior* and *exterior* of $\mathcal{S}$ can be defined (for instance spacetime asymptotically flat) $\Rightarrow \ell$ is chosen to be the *outgoing* null normal and k to be the *ingoing* one
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Σ : spacelike hypersurface extending to spatial infinity (Cauchy surface)

outer trapped region of Σ : Ω = set of points $p \in \Sigma$ through which there is a outer trapped surface $\mathcal{S}$ lying in Σ

apparent horizon in Σ : $\mathcal{A}$ = connected component of the boundary of Ω

Proposition [Hawking & Ellis 1973]: $\mathcal{A}$ smooth $\Rightarrow \mathcal{A}$ is a MOTS

Connection with singularities and black holes

Proposition [Penrose (1965)]:

provided that the weak energy condition holds,

$\exists$ a trapped surface $\mathcal{S} \implies \exists$ a singularity in $(\mathcal{M}, g)$ (in the form of a future inextendible null geodesic)

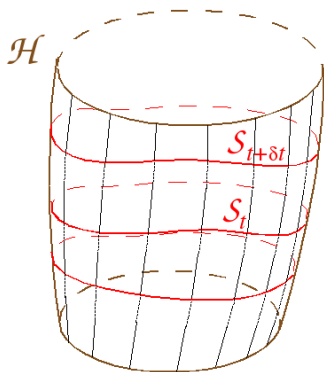
Proposition [Hawking & Ellis (1973)]:

provided that the cosmic censorship conjecture holds,

$\exists$ a trapped surface $\mathcal{S} \implies \exists$ a black hole $\mathcal{B}$ and $\mathcal{S} \subset \mathcal{B}$

Local definitions of “black holes”

A hypersurface $\mathcal{H}$ of $(\mathcal{M}, g)$ is said to be

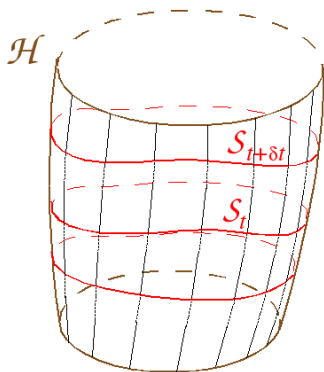


- a **future outer trapping horizon (FOTH)** iff
 - $\mathcal{H}$ foliated by marginally trapped 2-surfaces ($\theta^{(k)} < 0$ and $\theta^{(\ell)} = 0$)
 - $\mathcal{L}_k \theta^{(\ell)} < 0$ (locally outermost trapped surf.)

[Hayward, PRD **49**, 6467 (1994)]

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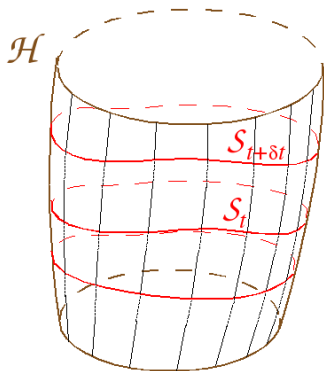
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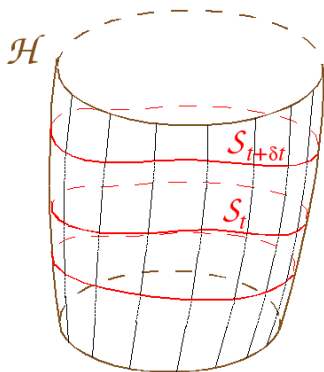
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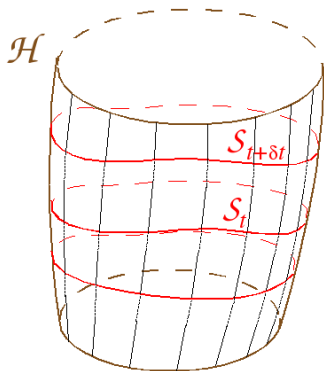
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[Ashtekar, Beetle & Fairhurst, CQG **16**, L1 (1999)]

Local definitions of “black holes”

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BH in equilibrium (e.g.

Kerr) = IH

BH out of equilibrium = DH

generic BH = FOTH

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Dynamics of these new horizons

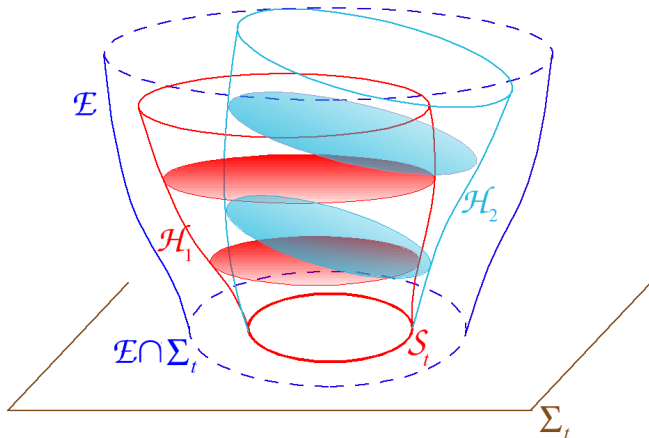
The *trapping horizons* and *dynamical horizons* have their **own dynamics**, ruled by Einstein equations.

In particular, one can establish for them

- existence and (partial) uniqueness theorems
[Andersson, Mars & Simon, PRL **95**, 111102 (2005)],
[Ashtekar & Galloway, Adv. Theor. Math. Phys. **9**, 1 (2005)]
- first and second laws of black hole mechanics
[Ashtekar & Krishnan, PRD **68**, 104030 (2003)], [Hayward, PRD **70**, 104027 (2004)]
- a viscous fluid bubble analogy (“membrane paradigm” as for the event horizon), leading to a Navier-Stokes-like equation and a **positive** bulk viscosity (*event horizon = negative bulk viscosity*)
[Gourgoulhon, PRD **72**, 104007 (2005)], [Gourgoulhon & Jaramillo, PRD **74**, 087502 (2006)]

Reviews: [Ashtekar & Krishnan, Liv. Rev. Relat. **7**, 10 (2004)], [Booth, Can. J. Phys. **83**, 1073 (2005)],
[Gourgoulhon & Jaramillo, Phys. Rep. **423**, 159 (2006)]

Non-uniqueness of trapping horizons



NB: uniqueness in spherical symmetry

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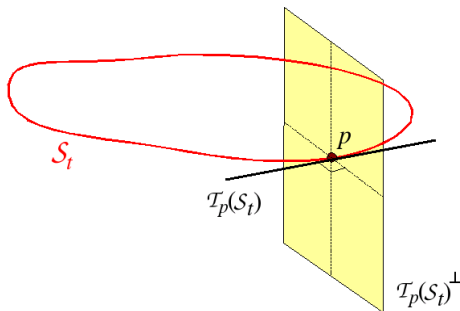
Closed spacelike surfaces

$\mathcal{S}$: **closed** (i.e. compact without boundary) **spacelike** 2-dimensional surface embedded in spacetime $(\mathcal{M}, g)$

$\mathcal{S}$ spacelike $\iff$ metric q induced by g is positive definite

q not degenerate $\implies$ orthogonal decomposition of the tangent space at any $p \in \mathcal{M}$:

$$T_p(\mathcal{M}) = T_p(\mathcal{S}) \oplus T_p(\mathcal{S})^\perp$$



q : induced metric on $\mathcal{S}$, components: $q_{\alpha\beta}$

$\vec{q}$: orthogonal projector onto $\mathcal{S}$, components: q^α_β

Projection operator $\vec{q}^*$

A : tensor of covariance type (m, n)

$\vec{q}^* A$: tensor of same covariance type, defined by

$$(\vec{q}^* A)^{\alpha_1 \dots \alpha_m}_{\beta_1 \dots \beta_n} := q^{\alpha_1}_{\mu_1} \dots q^{\alpha_m}_{\mu_m} q^{\nu_1}_{\beta_1} \dots q^{\nu_n}_{\beta_n} A^{\mu_1 \dots \mu_m}_{\nu_1 \dots \nu_n}$$

Remark: for a vector: $\vec{q}^* v = \vec{q}(v)$
 for a 1-form, $\vec{q}^* \omega = \omega \circ \vec{q}$

Definition: a tensor A is *tangent to $\mathcal{S}$* iff $\vec{q}^* A = A$.

Expansion and shear along normal vectors

Let v be a vector field on $\mathcal{M}$, defined at least at S and everywhere normal to S .
 NB: v is not assumed to be null

Deformation tensor of S along v : $\Theta^{(v)} := \vec{q}^* \nabla \underline{v}$ or $\Theta_{\alpha\beta}^{(v)} := \nabla_\nu v_\mu q^\mu_\alpha q^\nu_\beta$

v normal to a 2-surface (S) $\implies \Theta^{(v)}$ is a **symmetric** bilinear form

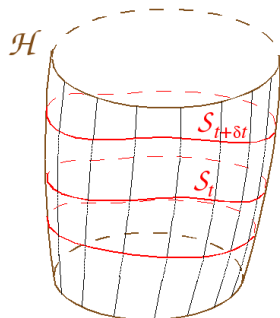
Prop: $\Theta^{(v)} = \frac{1}{2} \vec{q}^* \mathcal{L}_v q$

Decomposition into traceless part (**shear** $\sigma^{(v)}$) and trace part (**expansion** $\theta^{(v)}$):

$\Theta^{(v)} = \sigma^{(v)} + \frac{1}{2} \theta^{(v)} q$ with $\theta^{(v)} := q^{\mu\nu} \Theta_{\mu\nu}^{(v)} = \mathcal{L}_v \ln \sqrt{q}$, $q := \det q_{ab}$

Prop: $\mathcal{L}_v s_\epsilon = \theta^{(v)} s_\epsilon$ with s_ϵ surface element of (S, q) : $s_\epsilon = \sqrt{q} dx^2 \wedge dx^3$
 $\implies$ hence the name *expansion*

Foliation of a hypersurface by spacelike 2-surfaces



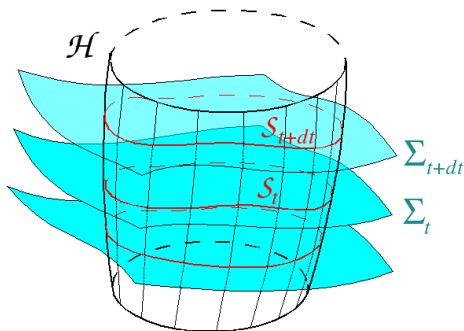
hypersurface $\mathcal{H}$ = submanifold of spacetime $(\mathcal{M}, g)$ of codimension 1

$\mathcal{H}$ can be $\begin{cases} \text{spacelike} \\ \text{null} \\ \text{timelike} \end{cases}$

$$\mathcal{H} = \bigcup_{t \in \mathbb{R}} S_t$$

S_t = spacelike 2-surface

Foliation of a hypersurface by spacelike 2-surfaces



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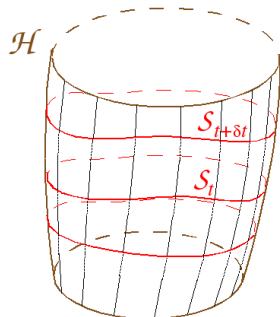
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$\Leftarrow$ 3+1 perspective

Foliation of a hypersurface by spacelike 2-surfaces



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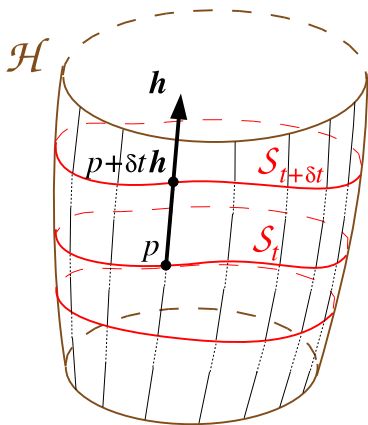
$\mathcal{H}$ can be $\begin{cases} \text{spacelike} \\ \text{null} \\ \text{timelike} \end{cases}$

$$\mathcal{H} = \bigcup_{t \in \mathbb{R}} S_t$$

S_t = spacelike 2-surface

intrinsic viewpoint adopted here (i.e. not relying on extra-structure such as a 3+1 foliation)

Evolution vector on the horizon



Vector field h on $\mathcal{H}$ defined by

- (i) h is tangent to $\mathcal{H}$
- (ii) h is orthogonal to $\mathcal{S}_t$
- (iii) $\mathcal{L}_h t = h^\mu \partial_\mu t = \langle dt, h \rangle = 1$

NB: (iii) $\implies$ the 2-surfaces $\mathcal{S}_t$ are Lie-dragged by h

Lie derivatives along h

Since the 2-surfaces $\mathcal{S}_t$ are Lie-dragged by h , so are their tangent vectors:

$$\forall v \in T(\mathcal{S}_t), \mathcal{L}_h v \in T(\mathcal{S}_t)$$

i.e. $\mathcal{L}_h$ = internal operator on $T(\mathcal{S}_t)$

Extension to 1-forms in $T^*(\mathcal{S}_t)$:

$$\forall v \in T(\mathcal{S}_t), \quad \langle \mathcal{L}_h \omega, v \rangle := \mathcal{L}_h \langle \omega, v \rangle - \langle \omega, \mathcal{L}_h v \rangle.$$

Extension to any tensor A tangent to $\mathcal{S}_t$ by tensor products

Definition:

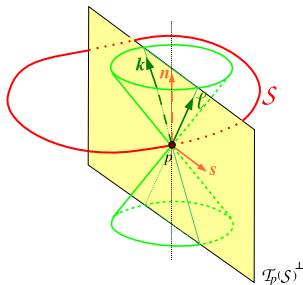
$${}^S\mathcal{L}_h A := \vec{q}^* \mathcal{L}_h A = \vec{q}^* \mathcal{L}_h \vec{q}^* A$$

Norm of h and type of $\mathcal{H}$

Definition: $C := \frac{1}{2} h \cdot h$

$\mathcal{H}$ is spacelike	$\iff$	$C > 0$	$\iff$	h is spacelike
$\mathcal{H}$ is null	$\iff$	$C = 0$	$\iff$	h is null
$\mathcal{H}$ is timelike	$\iff$	$C < 0$	$\iff$	h is timelike.

Frames normal to $\mathcal{S}_t$



Two natural types of choice for a vector basis of $\mathcal{T}_p(\mathcal{S}_t)^\perp$:

- ① an orthonormal basis $(\underline{n}, \underline{s})$ ($\underline{n}$ = timelike, $\underline{s}$ = spacelike):

$$\underline{n} \cdot \underline{n} = -1, \quad \underline{s} \cdot \underline{s} = 1, \quad \underline{n} \cdot \underline{s} = 0$$
- ② a pair linearly independent future-directed null vectors $(\underline{\ell}, \underline{k})$:

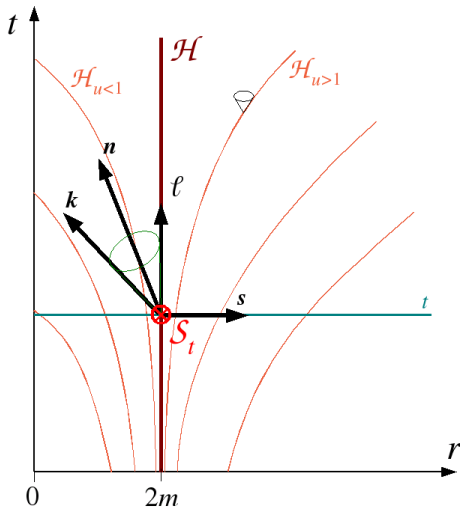
$$\underline{\ell} \cdot \underline{\ell} = 0, \quad \underline{k} \cdot \underline{k} = 0, \quad \underline{\ell} \cdot \underline{k} =: -e^\sigma$$

Degrees of freedom:

- ① boost :
$$\begin{cases} \underline{n}' = \cosh \eta \underline{n} + \sinh \eta \underline{s} \\ \underline{s}' = \sinh \eta \underline{n} + \cosh \eta \underline{s} \end{cases}, \quad \eta \in \mathbb{R}$$
- ② rescaling :
$$\begin{cases} \underline{\ell}' = \lambda \underline{\ell}, & \lambda > 0 \\ \underline{k}' = \mu \underline{k}, & \mu > 0 \end{cases}$$

Orthogonal projector: $\underline{q} = \underline{1} + \langle \underline{n}, \cdot \rangle \underline{n} - \langle \underline{s}, \cdot \rangle \underline{s} = \underline{1} + e^{-\sigma} \langle \underline{k}, \cdot \rangle \underline{\ell} + e^{-\sigma} \langle \underline{\ell}, \cdot \rangle \underline{k}$

Example of normal frames



$\mathcal{H}$ = event horizon of Schwarzschild black hole

$\mathcal{S}_t$ = slice of constant Eddington-Finkelstein time

Second fundamental tensor of $\mathcal{S}_t$

Tensor $\mathcal{K}$ of type $(1, 2)$ relating the covariant derivative of a vector tangent to $\mathcal{S}_t$ taken by the spacetime connection ∇ to that taken by the connection $\mathcal{D}$ in $\mathcal{S}_t$ compatible with the induced metric q :

$$\forall (u, v) \in T(\mathcal{S}_t)^2, \quad \nabla_u v = \mathcal{D}_u v + \mathcal{K}(u, v)$$

Prop:

$$\mathcal{K}^\alpha_{\beta\gamma} = \nabla_\mu q^\alpha_\nu q^\mu_\beta q^\nu_\gamma$$

$$\mathcal{K}^\alpha_{\beta\gamma} = n^\alpha \Theta_{\beta\gamma}^{(n)} - s^\alpha \Theta_{\beta\gamma}^{(s)} = e^{-\sigma} \left(k^\alpha \Theta_{\beta\gamma}^{(\ell)} + \ell^\alpha \Theta_{\beta\gamma}^{(k)} \right)$$

Remark: for a hypersurface of normal n and extrinsic curvature K ,

$$\mathcal{K}^\alpha_{\beta\gamma} = -n^\alpha K_{\beta\gamma}$$

Normal fundamental forms

Extrinsic geometry of $\mathcal{S}_t$ not entirely specified by $\mathcal{K}$ (contrary to the hypersurface case)

$\mathcal{K}$ involves only the deformation tensors $\Theta^{(\cdot)}$ of the normals to $\mathcal{S}_t \implies \mathcal{K}$ encodes only the part of the variation of $\mathcal{S}_t$'s normals which is parallel to $\mathcal{S}_t$

Variation of the two normals with respect to each other: encoded by the **normal fundamental forms** (also called *external rotation coefficients* or *connection on the normal bundle*, or if $\mathcal{H}$ is null, *Hájíček 1-form*):

$$\begin{aligned} \textcircled{1} \quad \Omega^{(n)} &:= s \cdot \nabla_{\vec{q}} n & \text{or} & \quad \Omega_{\alpha}^{(n)} := s_{\mu} \nabla_{\nu} n^{\mu} q^{\nu}_{\alpha} \\ \Omega^{(s)} &:= n \cdot \nabla_{\vec{q}} s \\ \textcircled{2} \quad \Omega^{(\ell)} &:= \frac{1}{k \cdot \ell} k \cdot \nabla_{\vec{q}} \ell & \text{or} & \quad \Omega_{\alpha}^{(\ell)} := \frac{1}{k_{\rho} \ell^{\rho}} k_{\mu} \nabla_{\nu} \ell^{\mu} q^{\nu}_{\alpha} \\ \Omega^{(k)} &:= \frac{1}{k \cdot \ell} \ell \cdot \nabla_{\vec{q}} k \end{aligned}$$

Basic properties of the normal fundamental forms

From the definition: $\Omega^{(s)} = -\Omega^{(n)}$ and $\Omega^{(k)} = -\Omega^{(\ell)} + \mathcal{D}\sigma$

Relation between the (n, s) -type and the (ℓ, k) -type:

$$\Omega^{(\ell)} = \Omega^{(n)} \quad [\ell = n + s] \quad \text{and} \quad \Omega^{(k)} = -\Omega^{(n)} \quad [k = n - s]$$

The normal fundamental forms are not unique

(contrary to the second fundamental tensor $\mathcal{K}$)

Dependence of the normal frame

$$\textcircled{1} \quad (n, s) \mapsto (n', s') \implies \Omega^{(n')} = \Omega^{(n)} + \mathcal{D}\eta$$

$$\textcircled{2} \quad (\ell, k) \mapsto (\ell', k') \implies \Omega^{(\ell')} = \Omega^{(\ell)} + \mathcal{D} \ln \lambda$$

“Surface-gravity” 1-forms

If the vector fields (ℓ, k) are **extended away from $\mathcal{S}_t$** , define the 1-form

$$\kappa^{(\ell)} := \frac{1}{k \cdot \ell} k \cdot \nabla_p \ell \quad \text{or} \quad \kappa_\alpha^{(\ell)} := \frac{1}{k_\rho \ell^\rho} k_\mu \nabla_\nu \ell^\mu p^\nu{}_\alpha$$

where p is the orthogonal projector complementary to $\vec{q}$: $\mathbf{1} = \vec{q} + p$.

NB: Since p is a projector in a direction transverse to $\mathcal{S}_t$, the 1-form $\kappa^{(\ell)}$ is not intrinsic to the 2-surface $\mathcal{S}_t$: it depends on the choice of ℓ away from $\mathcal{S}_t$

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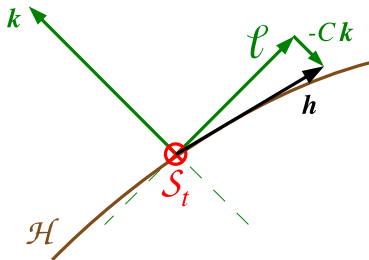
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If ℓ is extended along one of the two families of light rays emanating radially from $\mathcal{S}_t$, then ℓ is pre-geodesic: $\nabla_\ell \ell = \nu_{(\ell)} \ell$, with the *inaffinity parameter* (*surface gravity* if ℓ = null Killing vector of Kerr spacetime) given by the 1-form $\kappa^{(\ell)}$ applied to ℓ :

$$\nu_{(\ell)} = \langle \kappa^{(\ell)}, \ell \rangle$$

Normal null frame associated with the evolution vector



The foliation $(S_t)_{t \in \mathbb{R}}$ entirely fixes the ambiguities in the choice of the null normal frame (ℓ, k) , via the evolution vector h : there exists a **unique normal null frame** (ℓ, k) such that

$$h = \ell - Ck \quad \text{and} \quad \ell \cdot k = -1$$

Outline

- 1 Review of “classical” black holes
- 2 New approaches to black holes
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Concept of black hole viscosity

- **Hartle and Hawking (1972, 1973)**: introduced the concept of **black hole viscosity** when studying the response of the *event horizon* to external perturbations
- **Damour (1979)**: 2-dimensional **Navier-Stokes** like equation for the event horizon $\implies$ *shear viscosity* and *bulk viscosity*
- **Thorne and Price (1986)**: **membrane paradigm** for black holes

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Shall we restrict the analysis to the event horizon ?

Can we extend the concept of viscosity to the local characterizations of black hole recently introduced, i.e. **future outer trapping horizons** and **dynamical horizons** ?

NB: *event horizon* = null hypersurface
future outer trapping horizon = null or spacelike hypersurface
dynamical horizon = spacelike hypersurface

Navier-Stokes equation in Newtonian fluid dynamics

$$\rho \left(\frac{\partial v^i}{\partial t} + v^j \nabla_j v^i \right) = -\nabla^i P + \mu \Delta v^i + \left(\zeta + \frac{\mu}{3} \right) \nabla^i (\nabla_j v^j) + f^i$$

or, in terms of fluid momentum density $\pi_i := \rho v_i$,

$$\frac{\partial \pi_i}{\partial t} + v^j \nabla_j \pi_i + \theta \pi_i = -\nabla_i P + 2\mu \nabla^j \sigma_{ij} + \zeta \nabla_i \theta + f_i$$

where θ is the fluid expansion:

$$\theta := \nabla_j v^j$$

and σ_{ij} the velocity shear tensor:

$$\sigma_{ij} := \frac{1}{2} (\nabla_i v_j + \nabla_j v_i) - \frac{1}{3} \theta \delta_{ij}$$

P is the pressure, μ the shear viscosity, ζ the bulk viscosity and f_i the density of external forces

Original Damour-Navier-Stokes equation

Hyp: $\mathcal{H}$ = null hypersurface (particular case: black hole **event horizon**)

Then $h = \ell$ ($C = 0$) ◀ reminder

Damour (1979) has derived from **Einstein equation** the relation

$${}^S\mathcal{L}_\ell \Omega^{(\ell)} + \theta^{(\ell)} \Omega^{(\ell)} = \mathcal{D}\nu_{(\ell)} - \mathcal{D} \cdot \vec{\sigma}^{(\ell)} + \frac{1}{2} \mathcal{D}\theta^{(\ell)} + 8\pi \bar{q}^* T \cdot \ell$$

or equivalently

$${}^S\mathcal{L}_\ell \pi + \theta^{(\ell)} \pi = -\mathcal{D}P + 2\mu \mathcal{D} \cdot \vec{\sigma}^{(\ell)} + \zeta \mathcal{D}\theta^{(\ell)} + f$$

with $\pi := -\frac{1}{8\pi} \Omega^{(\ell)}$ momentum surface density

$P := \frac{\nu^{(\ell)}}{8\pi}$ pressure

$\mu := \frac{1}{16\pi}$ shear viscosity

$\zeta := -\frac{1}{16\pi}$ bulk viscosity

$f := -\bar{q}^* T \cdot \ell$ external force surface density (T = stress-energy tensor)

Original Damour-Navier-Stokes equation (con't)

Introducing a coordinate system (t, x^1, x^2, x^3) such that

- t is compatible with ℓ : $\mathcal{L}_\ell t = 1$
- $\mathcal{H}$ is defined by $x^1 = \text{const}$, so that $x^a = (x^2, x^3)$ are coordinates spanning $\mathcal{S}_t$

then

$$\ell = \frac{\partial}{\partial t} + V$$

with V tangent to $\mathcal{S}_t$: velocity of $\mathcal{H}$'s null generators with respect to the coordinates x^a [Damour, PRD 18, 3598 (1978)].

Then

$$\theta^{(\ell)} = \mathcal{D}_a V^a + \frac{\partial}{\partial t} \ln \sqrt{q} \quad q := \det q_{ab}$$

$$\sigma_{ab}^{(\ell)} = \frac{1}{2} (\mathcal{D}_a V_b + \mathcal{D}_b V_a) - \frac{1}{2} \theta^{(\ell)} q_{ab} + \frac{1}{2} \frac{\partial q_{ab}}{\partial t}$$

◀ compare

Negative bulk viscosity of event horizons

From the Damour-Navier-Stokes equation, $\zeta = -\frac{1}{16\pi} < 0$

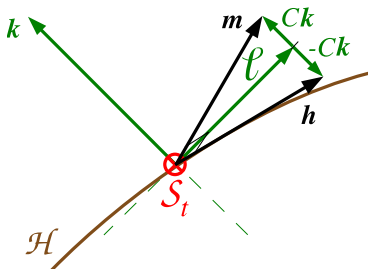
This negative value would yield to a *dilation or contraction instability* in an ordinary fluid

It is in agreement with the tendency of a null hypersurface to continually contract or expand

The event horizon is stabilized by the **teleological condition** imposing its expansion to vanish in the far future (equilibrium state reached)

- evolution vector along $\mathcal{H}$ (e.g. term ${}^S\mathcal{L}_\ell$)
- normal to $\mathcal{H}$ (e.g. term $\vec{q}^*T \cdot \ell$)

- **evolution vector:** obviously h ◀ reminder
- **vector normal to $\mathcal{H}$:** a natural choice is $m := \ell + Ck$



Generalized Damour-Navier-Stokes equation

Starting point of the calculation: contracted Ricci identity applied to the vector m and projected onto $\mathcal{S}_t$:

$$(\nabla_\mu \nabla_\nu m^\mu - \nabla_\nu \nabla_\mu m^\mu) q^\nu{}_\alpha = R_{\mu\nu} m^\mu q^\nu{}_\alpha$$

Final result:

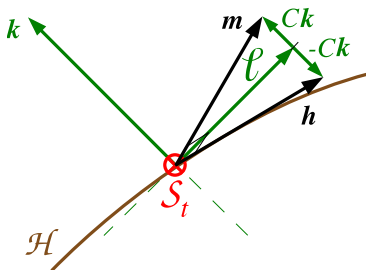
$${}^{\mathcal{S}}\mathcal{L}_h \Omega^{(\ell)} + \theta^{(h)} \Omega^{(\ell)} = \mathcal{D} \langle \kappa^{(\ell)}, h \rangle - \mathcal{D} \cdot \vec{\sigma}^{(m)} + \frac{1}{2} \mathcal{D} \theta^{(m)} - \theta^{(k)} \mathcal{D} C + 8\pi \vec{q}^* T \cdot m$$

- $\Omega^{(\ell)}$: normal fundamental form of $\mathcal{S}_t$ associated with null normal ℓ ◀ reminder
- $\theta^{(h)}$, $\theta^{(m)}$ and $\theta^{(k)}$: expansion scalars of $\mathcal{S}_t$ along the vectors h , m and k respectively ◀ reminder
- $\mathcal{D}$: covariant derivative within $(\mathcal{S}_t, q)$
- $\kappa^{(\ell)}$: “surface-gravity” 1-form associated with the null vector ℓ ◀ reminder
- $\sigma^{(m)}$: shear tensor of $\mathcal{S}_t$ along the vector m ◀ reminder
- C : half the scalar square of h ◀ reminder

Null limit

In the null limit,

$$h = m = \ell \quad \text{and} \quad C = 0$$



and we recover the original Damour-Navier-Stokes equation:

$${}^S\mathcal{L}_\ell \Omega^{(\ell)} + \theta^{(\ell)} \Omega^{(\ell)} = \mathcal{D}\nu^{(\ell)} - \mathcal{D} \cdot \vec{\sigma}^{(\ell)} + \frac{1}{2} \mathcal{D}\theta^{(\ell)} + 8\pi \vec{q}^* T \cdot \ell$$

Case of future trapping horizons

Definition [Hayward, PRD **49**, 6467 (1994)] : $\mathcal{H}$ is a **future trapping horizon** iff $\theta^{(\ell)} = 0$ and $\theta^{(k)} < 0$.

The generalized Damour-Navier-Stokes equation reduces then to

$$S\mathcal{L}_h \Omega^{(\ell)} + \theta^{(h)} \Omega^{(\ell)} = \mathcal{D}\langle \kappa^{(\ell)}, h \rangle - \mathcal{D} \cdot \vec{\sigma}^{(m)} - \frac{1}{2} \mathcal{D}\theta^{(h)} - \theta^{(k)} \mathcal{D}C + 8\pi \vec{q}^* T \cdot m$$

NB: Notice the change of sign in the $-\frac{1}{2} \mathcal{D}\theta^{(h)}$ term with respect to the original Damour-Navier-Stokes equation [← compare](#)

Viscous fluid form

$$\mathcal{S}\mathcal{L}_h \pi + \theta^{(h)} \pi = -\mathcal{D}P + \frac{1}{8\pi} \mathcal{D} \cdot \vec{\sigma}^{(m)} + \zeta \mathcal{D}\theta^{(h)} + f$$

with $\pi := -\frac{1}{8\pi} \Omega^{(\ell)}$ momentum surface density

$P := \frac{\kappa}{8\pi}$ pressure

$\frac{1}{8\pi} \sigma^{(m)}$ shear stress tensor

$\zeta := \frac{1}{16\pi}$ bulk viscosity

$f := -\vec{q}^* T \cdot m + \frac{\theta^{(k)}}{8\pi} \mathcal{D}C$ external force surface density

Similar to the Damour-Navier-Stokes equation for an event horizon [◀ hyperlink](#), except for

- no Newtonian-fluid relation between *stress* and *strain*: $\sigma^{(m)} \neq 2\mu\sigma^{(h)}$
- **positive bulk viscosity**

This positive value of bulk viscosity shows that FOTHs and DHs behave as “ordinary” physical objects, in perfect agreement with their **local nature**

Generalized angular momentum

Definition [Booth & Fairhurst, CQG 22, 4545 (2005)]: Let φ be a vector field on $\mathcal{H}$ which

- is tangent to $\mathcal{S}_t$
- has closed orbits
- has vanishing divergence with respect to the induced metric: $\mathcal{D} \cdot \varphi = 0$

For dynamical horizons, $\theta^{(h)} \neq 0$ and there is a unique choice of φ as the generator (conveniently normalized) of the curves of constant $\theta^{(h)}$ [Hayward, PRD 74, 104013 (2006)]

The *generalized angular momentum associated with φ* is then defined by

$$J(\varphi) := -\frac{1}{8\pi} \oint_{\mathcal{S}_t} \langle \Omega^{(\ell)}, \varphi \rangle s_{\epsilon},$$

Remark 1: does not depend upon the choice of null vector ℓ , thanks to the divergence-free property of φ

Remark 2:

- coincides with Ashtekar & Krishnan's definition for a dynamical horizon
- coincides with Brown-York angular momentum if $\mathcal{H}$ is timelike and φ a Killing vector

Angular momentum flux law

Under the supplementary hypothesis that φ is transported along the evolution vector $\mathbf{h}$: $\mathcal{L}_{\mathbf{h}} \varphi = 0$, the generalized Damour-Navier-Stokes equation leads to

$$\frac{d}{dt} J(\varphi) = - \oint_{S_t} T(m, \varphi) {}^S \epsilon - \frac{1}{16\pi} \oint_{S_t} \left[\vec{\sigma}^{(m)} : \mathcal{L}_{\varphi} q - 2\theta^{(k)} \varphi \cdot \mathcal{D}C \right] {}^S \epsilon$$

[Gourgoulhon, PRD **72**, 104007 (2005)]

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Two interesting limiting cases:

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Two interesting limiting cases:

- $\mathcal{H}$ = null hypersurface : $C = 0$ and $\mathbf{m} = \ell$:

$$\frac{d}{dt} J(\varphi) = - \oint_{S_t} T(\ell, \varphi) {}^S \epsilon - \frac{1}{16\pi} \oint_{S_t} \vec{\sigma}^{(\ell)} : \mathcal{L}_{\varphi} \mathbf{q} {}^S \epsilon$$

i.e. Eq. (6.134) of the *Membrane Paradigm* book (Thorne, Price & MacDonald 1986)

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i.e. Eq. (6.134) of the *Membrane Paradigm* book (Thorne, Price & MacDonald 1986)

- $\mathcal{H}$ = future trapping horizon :

$$\frac{d}{dt} J(\varphi) = - \oint_{S_t} T(m, \varphi) {}^s \epsilon - \frac{1}{16\pi} \oint_{S_t} \vec{\vec{\sigma}}^{(m)} : \mathcal{L}_\varphi q {}^s \epsilon$$

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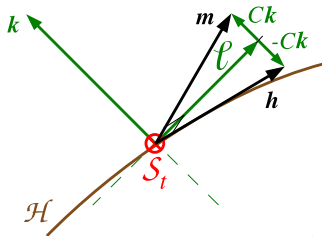
Starting point

From the Einstein equation, one can derive the following evolution law for any foliated hypersurface $\mathcal{H}$ [Gourgoulhon & Jaramillo, PRD **74**, 087502 (2006)] :

$$\begin{aligned}\mathcal{L}_h \theta^{(m)} &= \kappa \theta^{(h)} - \frac{1}{2} \theta^{(h)} \theta^{(m)} - \sigma^{(h)} : \sigma^{(m)} - 8\pi T(m, h) \\ &\quad + \theta^{(k)} \mathcal{L}_h C + \mathcal{D} \cdot (2C \vec{\Omega}^{(\ell)} - \vec{\mathcal{D}} C)\end{aligned}$$

where κ is the component along ℓ of the “acceleration” of h in the decomposition

$$\nabla_h h = \kappa \ell + (C\kappa - \mathcal{L}_h C)k - \mathcal{D}C$$



Two special cases

- **null hypersurface (event horizon)** : $h = m = \ell$ and $C = 0$:

$$\mathcal{L}_\ell \theta^{(\ell)} + (\theta^{(\ell)})^2 - \kappa \theta^{(\ell)} = \frac{1}{2}(\theta^{(\ell)})^2 - \sigma^{(\ell)} : \sigma^{(\ell)} - 8\pi T(\ell, \ell)$$

→ this is the *null Raychaudhuri equation*

- **FOTH** : $\theta^{(\ell)} = 0 \Rightarrow \theta^{(m)} = -\theta^{(h)}$:

$$\begin{aligned} \mathcal{L}_h \theta^{(h)} + (\theta^{(h)})^2 + \kappa \theta^{(h)} &= \frac{1}{2}(\theta^{(h)})^2 + \sigma^{(h)} : \sigma^{(m)} + 8\pi T(m, h) \\ &\quad - \theta^{(k)} \mathcal{L}_h C + \mathcal{D} \cdot (\vec{\mathcal{D}} C - 2C \vec{\Omega}^{(\ell)}) \end{aligned}$$

Notice the change of signs between the two cases

Energy equation

For a event horizon, Price and Thorne (1986) have defined the surface energy density as $\varepsilon := -\frac{1}{8\pi}\theta^{(\ell)}$

By analogy, define the surface energy density of a FOTH as $\varepsilon := -\frac{1}{8\pi}\theta^{(m)}$

Then the above evolution equation becomes

$$\mathcal{L}_h \varepsilon + (\varepsilon + P)\theta^{(h)} = \frac{1}{8\pi}\sigma^{(h)}:\sigma^{(m)} + \zeta(\theta^{(h)})^2 - \mathcal{D} \cdot Q + \mathcal{R}$$

[Gourgoulhon & Jaramillo, PRD **74**, 087502 (2006)]

with $P := \frac{\kappa}{8\pi}$ pressure, $\frac{1}{8\pi}\sigma^{(m)}$ shear stress tensor

$\sigma^{(h)}$ shear strain tensor, $\zeta := \frac{1}{16\pi} > 0$ bulk viscosity

$Q := \frac{1}{4\pi} \left[C\vec{\Omega}^{(\ell)} - \frac{1}{2}\vec{\mathcal{D}}C \right]$ heat flux

$\mathcal{R} = T(m, h) - \frac{\theta^{(k)}}{8\pi}\mathcal{L}_h C$ external energy production rate

We recover the positiveness of the bulk viscosity for a FOTH